

FORM PTO-1449  
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCK  
VA/H-32534A  
APPLICATION NO.  
Not Yet Assigned  
APPLICANT  
GRIFFITHS ET AL.  
FILING DATE

Sheet 1 of 1

10/521103

Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
J	AA	5,858,773	1/12/99	Mazodier et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
J	AM	WO 01 29233	4/26/01	WIPO			<input type="checkbox"/>	<input type="checkbox"/>
	AN	WO 02 04018	1/17/02	WIPO			<input type="checkbox"/>	<input type="checkbox"/>
	AO	WO 01 68865	9/20/01	WIPO			<input type="checkbox"/>	<input type="checkbox"/>
	AP	WO 01 10469	2/15/01	WIPO			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	WO 98 33884	8/6/98	WIPO			<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

J	AR	Newport, "Heat Shock Proteins as Vaccine Candidates", Seminars in Immunology, Vol. 3, No. 1, pp. 17-24, (1991)
	AS	Gudding R. et al., "Recent Developments in fish Vaccinology", Veterinary Immunology and Immunopathology, Vol. 72, pp. 203-212, (1999)
	AT	Kuzyk et al, "An Efficacious Recombinant Subunit Vaccine Against the Salmonid Rickettsial Pathogen Piscirickettsia Salmonis", Vaccine, Vol. 19, No. 17-19, pp. 2337-2344, (2001)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DT15 Res'd PCT/PTO 11 JAN 2005

FORM PTO-1449  
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Sheet 2 of 2

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)


ATTY. DOCKE  
VA/H-32534A  
APPLICATION NO.  
Not Yet Assigned  
APPLICANT  
GRIFFITHS ET AL.  
FILING DATE

10/521 103

Group

EXAMINER  
INITIAL

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	DA	Koch et al., "16S RDNA Studies on Members of Arthrobacter and Micrococcus: An Aid for their Futur3e Taxonomic Restructuring", FEMS Microbiology Letters, Vol. 123, No. 1/2, pp. 167-171, (1994)
	DB	
	DC	
	DD	
	DE	
	DF	
	DG	
	DH	
	DI	
	DJ	
	DK	
	DL	
	DM	
	DN	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

10/521 103

DT15 PCT/PTO 11 JAN 2005

CASE VA/H-32534A

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10	
EV443708 348US	January 11, 2005
Express Mail Label Number	Date of Deposit

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

GRIFFITHS ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/07602

FILED: 14 JULY 2003

U.S. APPLICATION NO: Not Yet Assigned

35 USC §371 DATE:

FOR: HSP70 FROM ARTHROBACTER

MS: Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.


In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references were cited in the international stage search report. Since these references are of record in the instant PCT application PCT/EP03/07602, copies are not enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 104  
East Hanover, NJ 07936-1080  
(862) 778-7922  
Date: January 11, 2005

  
David L. Marks  
Attorney for Applicants  
Reg. No. 37,881